

Please type a plus sign (+) inside this box ☐

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

First Inventor

Title

Express Mail Label No.

OUCHI, NORMAN

INFORMATION TRANSFER PROTOCOL SYSTEM
AND PRIVATE EXCHANGE

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☐ Specification [Total Pages]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table,
or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets]
5. Oath or Declaration [Total Pages]
 - a. ☐ Newly executed (original or copy)
Copy from a prior application (37 CFR 1.63 (d))
 - b. ☐ (for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☐ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: 09, 930, 933

Prior application information:

Examiner

Group Art Unit:

2152

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label ☐ Correspondence address below

Name: OUCHI, NORMAN KEN
Address: 20248 VIEWCREST CT
City: SAN JOSE State: CA Zip Code: 95120
Country: USA Telephone: 408-757-5862 Fax:

Name (Print/Type): OUCHI, NORMAN Registration No. (Attorney/Agent):
Signature: [Signature] Date: 10/17/2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

PATENT APPLICATION

Page 1 of 1

PTO-1449	ATTY. DOCKET NO.	SERIAL NO.
	APPLICANTS Norman Ken Ouchi	
	FILING DATE	GROUP

REFERENCE DESIGNATION		U.S. PATENTS				
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	AA	US 3,703,725	11/1972	Gomersall et al.	700	97
	AB	US 4,714,995	12/22/1987	Matema, et al.	707	201
	AC	US 4,807,108	2/21/1989	Ben-Arieh, et al.	700	28
	AD	US 4,827,423	5/2/1989	Beasley, et al.	700	96
	AE	US 4,866,628	9/12/1989	Natarajan	700	102
	AF	US 5,099,431	3/24/1992	Natarajan	700	105
	AG	US 5,216,613	6/1/1993	Head, III	700	102
	AH	US 5,231,585	7/27/1993	Kobayashi, et al.	700	96
	AI	US 5,751,581	5/12/1998	Tau, et al.	700	115
	AJ	US 5,978,836	11/2/1999	Ouchi	709	206
	AK	US 6,028,998	2/22/2000	Gloudeman, et al	717	1
	AL	US 6,119,125	9/12/2000	Gloudeman, et al	707	103R
	AM	US 6,170,002	1/2/2001	Ouchi	709	206
	AN	US 6,279,042	8/21/2001	Ouchi	709	240
	AO					
	AP					
	AQ					
	AR					
	AS					
	AT					
	AU					
	AV					
	AW					

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLAS	TRANSLATION	
							YES	NO

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)	

EXAMINER	DATE CONSIDERED
----------	-----------------